

08-06-01

Sector 108  
Page 1 of 2



UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL *HB*  
No. EL 752434562 US  
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/836,879	04/17/2001	Jed Arkin	BKE-002

021323  
TESTA, HURWITZ & THIBEAULT, LLP  
HIGH STREET TOWER  
125 HIGH STREET  
BOSTON, MA 02110



CONFIRMATION NO. 5203

FORMALITIES LETTER



\*OC000000006168229\*

Date Mailed: 06/11/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/08/2001 BARRAHAI 00000054 09836079

FILED UNDER 37 CFR 1.53(b)

01 FC:201 **355.00** **OP**  
02 FC:203 **1530.00** **OP**  
03 FC:202 **160.00** **OP**  
04 FC:205 **65.00** **OP**

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$3380.
  - \$3060 for 170 total claims over 20.
  - \$320 for 4 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 4220.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Express Mail Label No. EL752434562US

# TRANSMITTAL FORM

Application Serial Number	09/836,879
Filing Date	April 17, 2001
First Named Inventor	Arkin
Group Art Unit	2152
Examiner Name	Not Yet Assigned
Attorney Docket No.	BKE-002 (7991/4)
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

## ENCLOSURES (check all that apply)

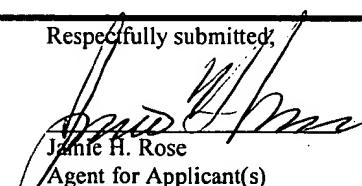
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form	<input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <input type="checkbox"/> Formal Drawing(s)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate)
<input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets <u>      </u> ]	<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input type="checkbox"/> Additional Enclosure(s) (please identify below)
<input type="checkbox"/> Petition for Extension of Time	<input checked="" type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application	
<input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations	<input type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Amendment After Allowance	
<input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	

## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
 Testa, Hurwitz & Thibeault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
 Fax No.: (617) 248-7100

## SIGNATURE BLOCK

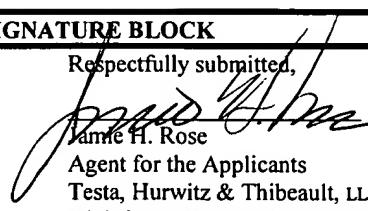
Respectfully submitted,

  
 Jamie H. Rose  
 Agent for Applicant(s)  
 Testa, Hurwitz & Thibeault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110

**FEE TRANSMITTAL**  
FY 2001



Complete if Known	
Application Serial Number	09/836,879
Filing Date	April 17, 2001
First Named Inventor	Arkin
Group Art Unit	2152
Examiner Name	Not Yet Assigned
Attorney Docket No.	BKE-002 (7991/4)

METHOD OF PAYMENT		FEE CALCULATION (continued)			
1. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		3. ADDITIONAL FEES Large Entity      Small Entity Fee (\$)      Fee (\$)      Fee Description      Fee Paid			
2. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531. <input type="checkbox"/> Required Fees (copy of this sheet enclosed). <input checked="" type="checkbox"/> Additional fee required under 37 CFR 1.16 and 1.17. <input checked="" type="checkbox"/> Overpayment Credit.		130      65      Surcharge - late filing fee or oath      65.00 50      25      Surcharge - late provisional filing fee or cover sheet  130      130      Non-English specification 2,520      2,520      For filing a request for reexamination  110      55      Extension for reply within first month 390      195      Extension for reply within second month 890      445      Extension for reply within third month 1,390      695      Extension for reply within fourth month 1,890      945      Extension for reply within fifth month 310      155      Notice of Appeal 310      155      Filing a brief in support of an appeal 270      135      Request for oral hearing 130      130      Petitions to the Commissioner 50      50      Petitions related to provisional applications  180      180      Submission of Information Disclosure Statement 710      355      Filing a submission after final rejection (37 CFR 1.129(a)) 710      355      For each additional invention to be examined (37 CFR 1.129(b))			
3. <input checked="" type="checkbox"/> Applicant claims small entity status.					
FEE CALCULATION					
1. FILING FEE					
Large Entity Fee (\$)      Fee Description      Fee Paid					
710	Utility filing fee	710.00			
320	Design filing fee				
150	Provisional filing fee				
Number      Number      Rate      Amount Filed      Extra					
Total Claims	190	- 20 = 170	x \$ 18.00 = 3,060.00		
Independent Claims      7      - 3 = 4      x \$ 80.00 = 320.00					
<input type="checkbox"/> Multiple Dependent Claim(s), if any      \$270.00 =					
TOTAL: 4,090.00 SMALL ENTITY DISCOUNT: 2,045.00 SUBTOTAL (1)      (\$) 2,045.00					
2. AMENDMENT CLAIM FEES					
Claims      Highest No.      Present      Rate      Fee Paid Remaining      Previously           Extra After Amend.      Paid For					
Total	-	=	x \$ 18.00 =	SUBTOTAL (3)      (\$) 65.00	
Indep.	-	=	x \$ 80.00 =	SUBTOTAL (1) 2,045.00	
<input type="checkbox"/> First Presentation of Multiple Dep. Claim      + \$270.00 =				SUBTOTAL (2) 0	
				SUBTOTAL (3) 65.00	
TOTAL:      (\$) SMALL ENTITY DISCOUNT:      (\$) SUBTOTAL (2)      (\$)0				TOTAL      (\$) 2,110.00	
CORRESPONDENCE ADDRESS		SIGNATURE BLOCK			
Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibeault, LLP High Street Tower-125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100		Respectfully submitted,  Jamie H. Rose Agent for the Applicants Testa, Hurwitz & Thibeault, LLP High Street Tower-125 High Street Boston, MA 02110			



*GAu 2152*  
*at U*  
**PATENT**  
Attorney Docket No. BKE-002 (7991/4)

**RECEIVED**  
Technology Center 2100  
AUG 14 2001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Arkin et al.  
SERIAL NO.: 09/836,879 GROUP NO.: 2152  
FILING DATE: April 17, 2001 EXAMINER: Not Yet Assigned  
TITLE: METHOD AND SYSTEM FOR PREVENTING THE  
INFRINGEMENT OF INTELLECTUAL PROPERTY RIGHTS

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, Washington, DC 20231 on this 10th day of August, 2001.

  
Beverly Horvitz

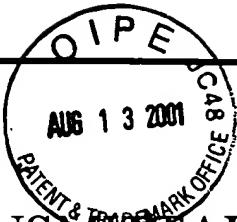
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith is/are:

1. Transmittal Form (1 page)
2. Information Disclosure Statement (2 pages)
3. Form PTO-1449 (2 pages)
4. Copies of IDS Citations (Refs. AA-AR)
5. Return Receipt Postcard

TRANSMITTAL  
FORM



Application Serial Number	09/836,879
Filing Date	April 17, 2001
First Named Inventor	Arkin
Group Art Unit	2152
Examiner Name	Not Yet Assigned
Attorney Docket No.	BKE-002 (7991/4)
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

Technology Center 2180  
AUG 14 2001

ENCLOSURES (check all that apply)

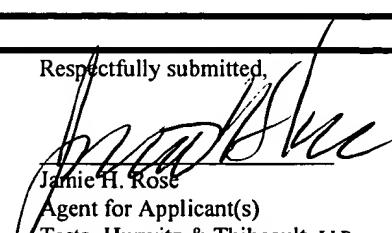
<input type="checkbox"/> Fee Transmittal Form <ul style="list-style-type: none"> <li><input type="checkbox"/> Check Attached</li> <li><input type="checkbox"/> Copy of Fee Transmittal Form</li> </ul>	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <ul style="list-style-type: none"> <li><input type="checkbox"/> Formal Drawing(s)</li> </ul>	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <ul style="list-style-type: none"> <li><input type="checkbox"/> Appeal Brief (in triplicate)</li> </ul>
<input type="checkbox"/> Amendment/Response <ul style="list-style-type: none"> <li><input type="checkbox"/> Preliminary</li> <li><input type="checkbox"/> After Final</li> <li><input type="checkbox"/> Affidavits/declaration(s)</li> <li><input type="checkbox"/> Letter to Official Draftsperson including Drawings</li> <li>[Total Sheets _____]</li> </ul>	<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <ul style="list-style-type: none"> <li><input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)</li> </ul>	<input type="checkbox"/> Status Inquiry <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Return Receipt Postcard</li> <li><input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8</li> </ul>
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<input type="checkbox"/> Sequence Listing submission <ul style="list-style-type: none"> <li><input type="checkbox"/> Paper Copy/CD</li> <li><input type="checkbox"/> Computer Readable Copy</li> <li><input type="checkbox"/> Statement verifying identity of above</li> </ul>	<input type="checkbox"/> Certificate of Correction (in duplicate)	

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125 High Street  
Boston, MA 02110  
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Fax No.: (617) 248-7100

SIGNATURE BLOCK

Date: August 9, 2001  
Reg. No. 45,054  
Tel. No.: (617) 248-7376  
Fax No.: (617) 248-7100

Respectfully submitted,  
  
 Jamie H. Rose  
Agent for Applicant(s)  
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